

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Immanuel Straub

Application No.: Not yet assigned

Group Art Unit: Not yet assigned

Filing Date: Herewith

Examiner: Not yet assigned

For: Catheter for aspirating, fragmenting and  
removing material**INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant respectfully submits this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08A/B identifies the references of which Applicant is aware. The Examiner is respectfully requested to consider the information herein and make it of record in the subject application.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to

37 C.F.R. § 1.97(b) within three months of the filing date of the application or before the application has received a substantive Office Action. Accordingly, no fee is necessary; however, should the Patent Office determine that a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to

37 C.F.R. § 1.97(c) before the mailing date of a Final Action, a Notice of Allowance, or close of prosecution. Accordingly included herewith is:

a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; or

a statement below under 37 C.F.R. § 1.97(e).

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /D.E./

IAP9 Rec'd PCT/PTO 30 AUG 2006

Application No.:

Attorney Docket No.: 516/12

By checking this paragraph, this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(d) after the mailing date of a Final Action, a Notice of Allowance, or close of prosecution, but before payment of the issue fee. Accordingly included herewith are:

- a check to cover the fee under 37 C.F.R. § 1.17(p), the Commissioner is authorized to charge any further fee to Deposit Account No. 11-0223; and
- a statement below under 37 C.F.R. § 1.97(e).

**Statement Pursuant to 37 C.F.R. § 1.97(e):**

By checking this paragraph, the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior the filing of this Information Disclosure Statement; or

By checking this paragraph, the undersigned states that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent in a counterpart foreign application, and, the undersigned, after making reasonable inquiry, believes that no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Dated: August 30, 2006

Respectfully submitted,

By Kevin M. Curran  
Kevin M. Curran, Reg. No. 43,571  
KAPLAN GILMAN GIBSON & DERNIER, L.L.P.  
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10/591100 : GAU: 4185  
IAP9 Rec'd PCT/PTO 30 AUG 2006

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	Not yet assigned
		Filing Date	Herewith
		First Named Inventor	Immanuel Straub
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
		Attorney Docket Number	516/12
Sheet	1	of	3

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code <sup>3</sup> ~Number <sup>4</sup> ~Kind Code <sup>5</sup> (if known)			
	BA	EP0267539	04-07-1993	Auth	
	BB	EP0310285	04-05-1989	Thimsen et al.	
	BC	EP0448859A2	10-02-1991	Shiber	
	BD	EP0448859A3	05-27-1992	Shiber	
	BE	EP0669106A2	08-30-1995	Simpson	
	BF	EP0669106B1	02-17-1999	Simpson	

Examiner Signature	/David Eastwood/	Date Considered	11/13/2008
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 2 of 3

**Complete if Known**

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**U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

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	BG	EP0680730A2	11-08-1995	Shiber	
	BH	EP0680730A3	01-24-1996	Shiber	
	BI	EP0739603A1	10-30-1996	Jang et al.	
	BJ	EP0739603B1	08-22-2001	Jang et al.	
	BK	WO 02/49690A2	06-27-2002	Patel et al.	
	BL	WO 02/49690A3	06-27-2002	Patel et al.	

Examiner Signature /David Eastwood/ Date Considered 11/13/2008

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